

IPBES-5/6: Financial and budgetary arrangements

The Plenary,

Welcoming the cash and in-kind contributions received since the inception of the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services in 2012,

Taking note of the status of cash and in-kind contributions received to date as set out in tables 1 and 2 of annex I to the present decision,

Taking note also of the pledges for the period beyond 2016, as set out in table 1 of annex I to the present decision, and of the status of expenditures in the biennium 2015–2016 as set out in tables 3 and 4 of annex I to the present decision, as well as the level of savings incurred during the biennium,

1. *Urges* members and observers to recognize the projection of significant revenue shortfalls in 2018 and beyond, which create a risk to the future stability of the Platform and the quality of its deliverables;

2. *Invites* pledges and contributions to the trust fund of the Platform, as well as in-kind contributions, from Governments, United Nations bodies, the Global Environment Facility, other intergovernmental organizations, stakeholders and others in a position to make such contributions to support the work of the Platform, including regional economic integration organizations, the private sector and foundations;

3. *Requests* the Executive Secretary, working under the guidance of the Bureau, to report to the Plenary at its sixth session on expenditures for the biennium 2016–2017;

4. *Adopts* the revised annual budget for 2017 amounting to \$8,732,772 as set out in table 5 of annex I to the present decision;

5. *Will consider* at its sixth session, subject to the availability of sufficient funds, the pending thematic assessment on the sustainable use of wild species, the pending methodological assessment regarding the diverse conceptualization of multiple values of nature and its benefits and the pending thematic assessment on invasive alien species;

6. *Adopts* the revised annual budget for 2018 amounting to \$5,000,000 as set out in table 5 of annex I to the present decision and will revisit the matter at its sixth session;

7. *Requests* the secretariat to examine the implications of a \$5,000,000 budget and of options both above and below that amount;

8. *Requests* experts from developed countries, prior to accepting roles as members of Platform expert groups or subsidiary bodies, to commit themselves to obtaining the financial means necessary for their participation in the work of the Platform;

9. *Approves* the fundraising strategy for the Platform set out in annex II to the present decision and requests the Executive Secretary, in accordance with the financial procedures of the Platform and working under the guidance of the Bureau with the support of members, to start implementing the strategy and to report on progress in its implementation to the Plenary at its sixth session.

Annex I to decision IPBES-5/6

Financial and budget tables

I. Status of cash and in-kind contributions to the Platform

1. Table 1 sets out the status of the cash contributions received since the establishment of the Platform in 2012, as well as confirmed pledges as at 10 March 2017.

Table 1

Status of cash contributions received and pledges made since the establishment of the Platform in April 2012 (from 1 May 2012 to 10 March 2017)

(United States dollars)

Country	Contributions						Pledges per United Nations exchange rate of 1 March 2017				
	2012	2013	2014	2015	2016	2017	Total	2017	2018	Total	Total
	1	2	3	4	5	6	(7) = (1)+(2)+(3)+ (4)+(5)+(6)	8	9	(10)=(8)+(9)	(11)= (7)+(10)
Australia		97 860			68 706		166 566			0	166 566
Belgium					118 243		118 243			0	118 243
Canada		38 914	36 496	30 098	30 616		136 124	30 098		30 098	166 222
Chile				23 136	14 966		38 102	15 000		15 000	53 102
China			160 000	60 000	2 005		222 005			0	222 005
Denmark			37 037				37 037			0	37 037
Finland		25 885	275 626				301 511	8 484		8 484	309 995
France		270 680	247 631	264 291	252 218		1 034 819	227 996		227 996	1 262 815
Germany	1 736 102	1 298 721	1 850 129	1 582 840	1 119 991	543 478	8 131 261	530 223	1 060 445	1 590 668	9 721 929
India		10 000	10 000				20 000			0	20 000
Japan		267 900	330 000	300 000	300 000		1 197 900	203 333		203 333	1 401 233
Latvia			4 299	3 944	3 889	3 726	15 858			0	15 858
Malaysia				100 000			100 000			0	100 000
Netherlands			678 426		636 943		1 315 369			0	1 315 369
New Zealand *		16 094	17 134	18 727	34 091		86 046			0	86 046
Norway		140 458	8 118 860	58 357	372 420		8 690 095	654 195	295 000	949 195	9 639 290
Republic of Korea		20 000					20 000			0	20 000
South Africa			30 000				30 000			0	30 000
Sweden		228 349	194 368	128 535	116 421		667 673	165 000		165 000	832 673
Switzerland		76 144	84 793	84 000	84 000		328 937	84 000	83 207	167 207	496 144
United Kingdom of Great Britain and Northern Ireland		1 285 694	1 046 145		228 956		2 560 795	186 567	186 567	373 134	2 933 929
United States of America	500 000	500 000	500 000	477 500	541 306		2 518 806			0	2 518 806
Total	2 236 102	4 276 699	13 620 944	3 131 427	3 924 771	547 204	27 737 147	2 104 895	1 625 220	3 730 115	31 467 262

* New Zealand's 2016 contribution includes contributions for 2016 and 2017.

2. Table 2 shows the in-kind contributions received by 10 March 2017, since the fourth session of the Plenary, and their corresponding estimated values in United States dollars, as provided or estimated according to the corresponding costs in the work programme. In-kind contributions correspond to support for activities either scheduled as part of the work programme (e.g., technical support, meeting facilities and local support) or organized in support of the work programme and not received by the trust fund.

Table 2
In-kind contributions received by 10 March 2017
(United States dollars)

<i>Government/institution</i>	<i>Activity</i>	<i>Type of support</i>	<i>Corresponding value as estimated</i>
1. In-kind contributions related to technical support			
Norway	Technical support unit for the task force on capacity-building (deliverables 1 (a) and (b))	Technical support	300 000
United Nations Educational, Scientific and Cultural Organization	Technical support unit for the task force on local and indigenous knowledge systems (deliverable 1 (c))	Technical support	150 000
Republic of Korea	Technical support unit for the task force on knowledge and data (deliverable 1 (d))	Technical support	300 000
South Africa	Technical support unit for the regional assessment for Africa (deliverable 2 (b))	Technical support, meeting facilities	150 000
SwedBio to CSIR	Work of the IPBES technical support unit for Africa	Technical support	73 095
China	Consultant in the Platform secretariat in support of the delivery of regional assessments (deliverable 2 (b))	Technical support	140 000
Colombia	Technical support unit for the regional assessment for the Americas (deliverable 2 (b))	Technical support, meeting facilities	150 000
Japan	Technical support unit for the regional assessment for Asia and the Pacific (deliverable 2 (b))	Technical support, meeting facilities	150 000
Switzerland	Technical support unit for the regional assessment for Europe and Central Asia (deliverable 2 (b))	Technical support, meeting facilities	271 429
Germany	Technical support unit for the global assessment (deliverable 2 (c))	Technical support	96 957
Netherlands	Technical support unit for the assessment on scenario analysis and modelling (deliverable 3 (c))	Technical support	250 000
Mexico	Technical support related to work on values (deliverable 3 (d))	Technical support	22 180
United Nations Environment Programme - World Conservation Monitoring Centre	Technical support for the work on the catalogue of assessments and the catalogue of policy support tools and methodologies (deliverables 4(c))	Technical support	30 000
United Nations Environment Programme	Technical support for the Platform secretariat	Technical support	223 100
Subtotal			2 306 761
2. In-kind contributions related to meetings scheduled as part of the approved work programme			
United Nations Development Programme	Second meeting of the IPBES capacity-building forum, New York, United States of America (deliverable 1 (a))	Meeting facilities, technical and local support	2 500

<i>Government/institution</i>	<i>Activity</i>	<i>Type of support</i>	<i>Corresponding value as estimated</i>
Hungarian Academy of Sciences	Fourth meeting of the task force on capacity-building in Budapest, Hungary (deliverable 1 (a))	Meeting facilities, catering and local support	7 320
Hungarian Academy of Sciences	IPBES capacity-building dialogue with Eastern European stakeholders (deliverable 1 (a))	Meeting facilities, catering and local support	2 440
Germany	Second author meetings of the regional assessments (deliverable 2 (b)) and the land degradation and restoration assessment (deliverable 3 (bi))	Meeting facilities, local support	176 471
Germany	Global assessment chapter 3 meeting (deliverable 2 (c))	Travel support, meeting facilities, local support	55 439
Subtotal			244 170
3-In-kind contributions in support of the approved work programme			
Germany	Fifth session of the Plenary	Meeting venue, facilities, equipment and supplies	466 569
Germany	Travel support for the fifth session of the Plenary	Travel support	104 602
Germany	Branding/software application	Communication support	20 920
Malaysia	Fourth session of the Plenary	Meeting facilities, hospitality, equipment and supplies	974 000
Malaysia	Fourth session of the Plenary: host country contribution	Travel support	194 099
United Kingdom	Travel costs of the IPBES Chair to represent the Platform	Travel support	20 000
Institute for Global Environmental Strategies	Institute for Global Environmental Strategies/Japan Biodiversity Fund (IGES/JBF) capacity-building project for IPBES (deliverable 1 (a))	Staff cost	75 000
Japan Biodiversity Fund	IGES-JBF capacity-building project for IPBES (deliverable 1 (a))	Capacity-building workshops, support for subregional dialogue workshop with indigenous and local knowledge holders	1 000 000
Foundation for Research on Biodiversity	Dialogue meeting for the establishment of national IPBES committees in French-speaking African countries, Rabat (deliverable 1 (a))	Meeting facilities	12 406
Ministry of Foreign Affairs, France	Dialogue meeting for the establishment of national IPBES Committees in French speaking African countries, Rabat (deliverable 1 (a))	Meeting facilities	22 297
United Nations Development Programme	Technical support for capacity-building in the context of the Biodiversity and Ecosystem Services Network (deliverables 1 (a) and (b))	Technical support	390 000
Switzerland	Second meeting of the European and Central Asian expert group, Zadar, Croatia (deliverable 2 (b))	Travel, accommodation, meeting facilities and local support	54 372
SwedBio and Council for Scientific and Industrial Research	African values workshop (deliverable 3 (d))	Travel, accommodation, meeting facilities and local support	77 343
SwedBio and Humboldt Institute	Latin American values workshop (deliverable 3 (d))	Travel, accommodation, meeting facilities and local support	75 792

<i>Government/institution</i>	<i>Activity</i>	<i>Type of support</i>	<i>Corresponding value as estimated</i>
SwedBio and Wildlife Institute of India	Asia Pacific values workshop (deliverable 3 (d))	Travel, accommodation, meeting facilities and local support	62 985
SwedBio to Forest Peoples Programme (FPP)	Travel funds to allow members of the FPP to attend IPBES meetings	Travel, accommodation, meeting facilities and local support	19 000
SwedBio	Support for UNDP/BES-Net	Web site	50 000
Oppla	Provision of ready to use web architecture as a basis for the catalogue of policy support tools and methodologies (deliverables 4 (c))	Software and technical support	150 000
International Union for Conservation of Nature (IUCN)	Technical support for the implementation of the stakeholder engagement strategy (deliverables 4 (d))	Technical support	70 000
IUCN	Stakeholder days preceding the fourth session of the Plenary	Meeting facilities and support	9 500
IUCN	Organization of two IPBES events at the World Conservation Congress	Meeting facilities and support	5 000
Future Earth	Support for the organization of the stakeholders days at the fourth session of the Plenary	Meeting facilities and support	12 615
Future Earth	Support for the task force on knowledge and data generation	Meeting facilities and support	75 840
Subtotal			3 942 340
Grand total (1+2+3)			6 493 272

II. Expenditures for 2015

3. Table 3 shows the expenditures for 2015, as at 31 December 2015, against the budget for 2015 approved by the Plenary at its third session (decision IPBES-3/2) on a modified cash basis (i.e., including actual expenses and commitments).

Table 3
Expenditures for 2015
(United States dollars)

<i>Budget item</i>	<i>2015 budget</i>	<i>2015 expenditures</i>	<i>Balance</i>
1. Meetings of the Platform bodies			
1.1 Annual session of the Plenary			
Travel costs for the third Plenary session participants (travel and daily subsistence allowance (DSA))	480 000	434 156	45 844
Conference services (translation, editing and interpretation) and venue	600 000	522 735	77 265
Plenary reporting services ^a	60 000	–	60 000
Security services (local and United Nations security) ^b		99 475	-99 475
Subtotal 1.1 Annual session of the Plenary	1 140 000	1 056 366	83 634
1.2 Bureau and Multidisciplinary Expert Panel sessions			
Travel and meeting costs of participants for Bureau sessions	103 500	50 357	53 143
Travel and meeting costs of participants for Multidisciplinary Expert Panel sessions	240 000	82 578	157 422
Subtotal 1.2 Bureau and Multidisciplinary Expert Panel	343 500	132 935	210 565
Subtotal 1.3 Travel costs of the Chair to represent the Platform	20 000	–	20 000

<i>Budget item</i>	<i>2015 budget</i>	<i>2015 expenditures</i>	<i>Balance</i>
Subtotal 1 Meetings of the Platform bodies	1 503 500	1 189 301	314 198
2. Implementation of the work programme	1 658 750	1 087 211	571 539
2.1 Objective 1: strengthen the capacity and knowledge foundations of the science-policy interface to implement key Platform functions			
2.2 Objective 2: strengthen the science-policy interface on biodiversity and ecosystem services at and across the subregional, regional and global levels	1 871 250	1 962 758	-91 508
2.3 Objective 3: strengthen the knowledge-policy interface with regard to thematic and methodological issues	1 620 000	1 238 510	381 490
2.4 Objective 4: communicate and evaluate Platform activities, deliverables and findings	342 500	192 950	149 550
Subtotal 2 Implementation of the work programme	5 492 500	4 481 429	1 011 071
3. Secretariat			
3.1 Personnel			
3.1.1 Professional and higher category			
Head of Secretariat (D-1)	283 600	201 571	82 029
Programme Officer (P-4)	223 100	165 398	57 702
Programme Officer (P-4) ^c	–	–	–
Programme Officer (P-3)	186 100	18 264	167 836
Programme Officer (P-3)	186 100	94 740	91 360
Associate Programme Officer (P-2)	161 800	82 531	79 269
Associate Programme Officer (P-2)	93 933	0	93 933
Subtotal 3.1.1 Professional and higher category	1 134 633	562 505	572 128
3.1.2 Secretariat: Administrative personnel			
Administrative support staff member (G-6)	113 000	60 690	52 310
Administrative support staff member (G-6)	56 500	–	56 500
Administrative support staff member (G-5)	56 500	29 498	27 002
Administrative support staff member (G-5)	113 000	59 489	53 511
Administrative support staff member (G-5)	113 000	59 713	53 287
Subtotal 3.1.2 Administrative personnel	452 000	209 390	242 610
Interim secretariat support arrangements			
Interim technical and secretariat support	–	171 426	-171 426
Subtotal 3.1.3 Interim support arrangements	–	171 426	-171 426
Subtotal 3.1 Personnel	1 586 633	943 321	643 312
3.2 Secretariat: operating costs (non-personnel)			
3.2.1 Travel on official business			
Official travel	100 000	76 708	23 292
Subtotal 3.2.1 Travel on official business	100 000	76 708	23 292
3.2.2 Staff training			
Project management professional training	10 000	8 325	1 675
Umoja and competency-based interviewing staff training	12 000	233	11 767
Subtotal 3.2.2 Staff training	22 000	8 557	13 443
3.2.3 Equipment and office supplies			
Expendable equipment (items under \$1,500 each)	4 500	4 374	126
Office supplies	12 000	5 751	6 249
Subtotal 3.2.3 Equipment and office supplies	16 500	10 126	6 374
3.2.4 Premises			

<i>Budget item</i>	<i>2015 budget</i>	<i>2015 expenditures</i>	<i>Balance</i>
Contribution to the cost of common services at the United Nations campus in Bonn, Germany (maintenance of office space, security, switchboard service, etc.)	45 000	44 000	1 000
Subtotal 3.2.4 Premises	45 000	44 000	1 000
3.2.5 Printers, photocopiers, IT support and miscellaneous			
Operation and maintenance of printers and photocopiers	5 000	887	4 113
Acquisition of four Microsoft Project and four Adobe office software licenses	4 000	–	4 000
Information technology (IT) services 2015 ^d		22 000	-22 000
Subtotal 3.2.5 Printers, photocopiers, IT support and miscellaneous	9 000	22 887	-13 887
3.2.6 Telephone, postage and miscellaneous			
Postage and miscellaneous	2 000	2 814	-814
Subtotal 3.2.6 Telephone, postage and miscellaneous	22 000	13 577	8 423
3.2.7 Hospitality			
Hospitality	5 000	–	5 000
Subtotal 3.2.7 Hospitality	5 000	–	5 000
Subtotal 3.2 Operating costs (non-personnel)	219 500	175 855	43 645
Subtotal 3 Secretariat (personnel and operating costs)	1 806 133	1 119 176	686 957
Subtotal 1+2+3	8 802 133	6 789 906	2 012 226
Programme support costs (8 per cent)	704 171	543 192	160 978
Total cost to the trust fund	9 506 304	7 333 098	2 173 204
Contribution to working capital reserve (10 per cent) ^e	20 476	798 223	(777 747)
Total cash requirement	9 526 780	8 131 321	1 395 457

^a The cost of the plenary reporting services of the Earth Negotiations Bulletin for the third session of the Plenary was reflected in 2014.

^b Security costs, amounting to \$99,475, were incurred for the third session of the Plenary and charged to 2015. They included the costs of local security staff provided through the United Nations Volunteers programme and the United Nations security force at the United Nations Office in Vienna.

^c P-4 secondment from UNEP.

^d The United Nations Volunteers programme provides IT services to all UNEP-related offices based in Bonn through a service-level agreement. The services include a help desk, local area network, internet security, domain name system (DNS) services, server hosting and subscriptions to Microsoft Office 365 Enterprise E3. Under the agreement, which has been in effect since 1 January 2015, the fee charged each year depends on the number of users; in 2015 it was \$22,000.

^e No allocation was made in the 2014 financial year for the purposes of a contribution to the 2014 working capital reserve. The amount was therefore transferred to the reserve in 2015, together with the allocation for 2015 (\$20,476), resulting in a total transfer of \$798,223.

III. Estimated expenditures for 2016

4. Table 4 shows the estimated expenditures for 2016, as at 31 December 2016, against the budget for 2016 approved by the Plenary at its fourth session (decision IPBES-4/2).

Table 4
Estimated expenditures for 2016
 (United States dollars)

<i>Budget items</i>	<i>2016 approved budget</i>	<i>2016 estimated expenditures</i>	<i>Estimated balance</i>
1. Meetings of the Platform bodies			
1.1 Annual session of the Plenary			
Travel costs of fourth Plenary session participants (travel and DSA)	500 000	410 045	89 955
Conference services (translation, editing and interpretation)	765 000	770 912	-5 912
Plenary reporting services	65 000	59 998	5 002
Security for the Plenary ^a	100 000	–	100 000
Subtotal 1.1 Annual session of the Plenary	1 430 000	1 240 955	189 045
1.2 Bureau and Multidisciplinary Expert Panel sessions			
Travel and meeting costs of participants for two Bureau sessions	70 900	66 083	4 817
Travel and meeting costs of participants for two Panel sessions	240 000	153 906	86 094
Subtotal 1.2 Bureau and Multidisciplinary Expert Panel sessions	310 900	219 990	90 910
Subtotal 1.3 Travel costs of the Chair to represent the Platform	25 000	–	25 000
Subtotal 1 Meetings of the Platform bodies	1 765 900	1 460 945	304 955
2. Implementation of the work programme			
2.1 Objective 1: strengthen the capacity and knowledge foundations of the science-policy interface to implement key functions of the Platform	1 317 500	1 209 859	107 641
2.2 Objective 2: strengthen the science-policy interface on biodiversity and ecosystem services at and across the subregional, regional and global levels	1 598 750	1 189 557	409 193
2.3 Objective 3: strengthen the knowledge-policy interface with regard to thematic and methodological issues	651 500	444 894	206 606
2.4 Objective 4: communicate and evaluate Platform activities, deliverables and findings	275 000	227 268	47 732
Subtotal 2 Implementation of the work programme	3 842 750	3 071 578	771 172
3. Secretariat			
3.1 Personnel			
3.1.1 Professional and higher category			
Head of Secretariat (D-1)	290 700	192 068	98 632
Programme Officer (P-4)	228 700	132 454	96 246
Programme Officer (P-4) ^b	–	–	–
Programme Officer (P-3)	190 800	97 885	92 915
Programme Officer (P-3)	190 800	148 138	42 662
Associate Programme Officer (P-2)	165 900	90 747	75 153
Associate Programme Officer (P-2)	165 900	73 321	92 579
Subtotal 3.1.1 Professional and higher category	1 232 800	734 613	498 187
3.1.2 Administrative personnel			
Administrative support staff member (G-6)	115 900	41 280	74 620
Administrative support staff member (G-6)	115 900	24 937	90 963
Administrative support staff member (G-5)	115 900	59 485	56 415
Administrative support staff member (G-5)	115 900	58 432	57 468
Administrative support staff member (G-5)	115 900	41 494	74 406
Subtotal 3.1.2 Administrative personnel	579 500	225 628	353 872
Subtotal 3.1 Personnel	1 812 300	960 240	852 060
3.2 Secretariat: operating costs (non-personnel)			
3.2.1 Travel on official business			

<i>Budget items</i>	<i>2016 approved budget</i>	<i>2016 estimated expenditures</i>	<i>Estimated balance</i>
Official travel	120 000	66 898	53 102
Subtotal 3.2.1 Travel on official business	120 000	66 898	53 102
3.2.2 Staff training			
Staff training	25 000	14 499	10 501
Subtotal 3.2.2 Staff training	25 000	14 499	10 501
Secretariat operating costs			
3.2.3 Equipment and office supplies			
Expendable equipment (items under \$1,500 each)	4 500	2 849	1 651
Office supplies	12 000	10 106	1 894
Subtotal 3.2.3 Equipment and office supplies	16 500	12 955	3 545
3.2.4 Premises			
Contribution to common costs (maintenance of office space, common security, switchboard service, etc.)	45 000	41 812	3 188
Subtotal 3.2.4 Premises	45 000	41 812	3 188
3.2.5 Printers, photocopiers, IT services and miscellaneous			
Operation and maintenance of printers and photocopiers	5 000	4 101	899
Software and other miscellaneous expenses	4 000	1 000	3 000
IT support services ^c	20 000	49 000	-29 000
Subtotal 3.2.5 Printers, photocopiers and IT services	29 000	54 101	-25 101
3.2.6 Telephone, postage and miscellaneous			
Telephone	20 000	9 843	10 157
Postage and miscellaneous	2 000	335	1 665
Subtotal 3.2.6 Telephone, postage and miscellaneous	22 000	10 178	11 822
3.2.7 Hospitality			
Hospitality	5 000	0	5 000
Subtotal 3.2.7 Hospitality	5 000	0	5 000
Subtotal 3.2 Operating costs (non-personnel)	262 500	200 444	62 056
Subtotal 3 Secretariat (personnel and operating costs)	2 074 800	1 160 684	914 116
Subtotal 1+2+3	7 683 450	5 693 208	1 990 242
Programme support costs (8 per cent)	614 676	455 457	159 219
Total cost to the trust fund	8 298 126	6 148 664	2 149 462
Contribution to the working capital reserve (10 per cent)	126 873	126 873	0
Total cash requirement	8 424 999	6 275 537	2 149 462

^a According to the security risk assessment conducted by the United Nations Department of Safety and Security, Malaysia was at security level I (the lowest level). As a result, the United Nations was not requested to provide security services and security was instead provided by the host Government, at its own expense, in accordance with the host government agreement between UNEP and the Government of Malaysia.

^b P-4 secondment from UNEP.

^c The United Nations Volunteers programme provides IT services to all UNEP-related offices based in Bonn through a service-level agreement. The services include a help desk, local area network, internet security, DNS services, server hosting and subscriptions to Microsoft Office 365 Enterprise E3. Under the agreement, which has been in effect since 1 January 2015, the fee charged each year depends on the number of users. In 2015 the fee was \$22,000; in 2016 it increased to \$49,000 owing to an increase in the number of users as well as an increase in the help desk fee charged by the United Nations Volunteers programme.

IV. Budget for the biennium 2017-2018¹

Table 5
Approved budgets for 2017-2018
 (United States dollars)

<i>Budget items</i>	<i>2017</i>	<i>2018</i>
<u>1. Meetings of the Platform bodies</u>		
1.1 Annual sessions of the Plenary (fifth and sixth)		
Travel costs for Plenary session participants (travel/DSA)	500 000	500 000
Conference services (translation and editing) and venue	830 000	1 065 000
Plenary reporting services	65 000	65 000
Security for the Plenary	100 000	100 000
Subtotal 1.1, sessions of the Plenary	1 495 000	1 730 000
1.2 Bureau and Multidisciplinary Expert Panel sessions		
Travel and meeting costs for participants for two Bureau sessions	70 900	70 900
Travel and meeting costs for participants for two Panel sessions	170 000	170 000
Subtotal 1.2, Bureau and Multidisciplinary Expert Panel sessions	240 900	240 900
1.3 Travel costs of the Chair to represent the Platform	25 000	30 000
Subtotal 1, meetings of the Platform bodies	1 760 900	2 000 900
<u>2. Implementation of the work programme</u>		
2.1 Objective 1: strengthen the capacity and knowledge foundations of the science-policy interface to implement key functions of the Platform	798 000	
2.2 Objective 2: strengthen the science-policy interface on biodiversity and ecosystem services at and across the subregional, regional and global levels	2 703 750	
2.3 Objective 3: strengthen the knowledge-policy interface with regard to thematic and methodological issues	507 000	
2.4 Objective 4: communicate and evaluate Platform activities, deliverables and findings	150 000	
Subtotal 2, implementation of the work programme	4 158 750	1 272 350
<u>3. Secretariat</u>		
3.1 Personnel		
3.1.1 Professional and higher category		
Head of Secretariat (D-1)	298 000	305 400
Programme Officer (P-4)	234 400	240 300
Programme Officer (P-4) ^a		-
Programme Officer (P-3)	195 600	200 500
Programme Officer (P-3)	195 600	200 500
Associate Programme Officer (P-2)	170 000	174 300

¹ By its decision IPBES-5/6, the Plenary adopted a revised annual budget for 2017 amounting to \$8,732,772. The Plenary further adopted a revised budget of \$5,000,000 for 2018. Accordingly, table 5 provides the approved budgets for 2017 and 2018. The budget for 2018 is based on the proposal made by the secretariat in document IPBES/5/10, adjusted in consultation with the Bureau to the \$5,000,000 figure agreed to by the Plenary. The secretariat, in response to the request from the Plenary, will examine, in consultation with the Bureau, the implications of a \$5,000,000 budget and options both above and below that amount, for consideration by the Plenary at its sixth session.

<i>Budget items</i>	<i>2017</i>	<i>2018</i>
Associate Programme Officer (P-2)	170 000	174 300
Subtotal 3.1.1, Professional and higher category	1 263 600	1 295 300
3.1.2 Administrative personnel		
Administrative support staff member (G-6)	118 800	121 800
Administrative support staff member (G-6)	118 800	121 800
Administrative support staff member (G-6)	118 800	121 800
Administrative support staff member (G-6): part-time	59 400	59 400
Administrative support staff member (G-5)	118 800	121 800
Administrative support staff member (G-5)	118 800	121 800
Subtotal 3.1.2, Administrative personnel	653 400	668 400
Subtotal 3.1, personnel	1 917 000	1 963 700
3.2 Secretariat: operating costs (non-personnel)		
3.2.1 Travel on official business		
Official travel	80 000	80 000
Subtotal 3.2.1, travel on official business	80 000	80 000
3.2.2 Staff training		
Staff training	15 000	15 000
Subtotal 3.2.2, staff training	15 000	15 000
3.2.3 Equipment and office supplies		
Expendable equipment (items under \$1 500 each)	10 000	10 000
Office supplies	12 000	12 000
Subtotal 3.2.3, equipment and office supplies	22 000	22 000
3.2.4 Premises		
Contribution to common costs (maintenance of office space, common security, switchboard service, etc.)	45 000	45 000
Subtotal 3.2.4, premises	45 000	45 000
3.2.5 Printers, photocopiers and IT services		
Operation and maintenance of printers and photocopiers	5 000	5 000
Software and other miscellaneous expenses	4 000	4 000
IT support services	61 250	61 250
Subtotal 3.2.5, printers, photocopiers and IT services	70 250	70 250
3.2.6 Telephone, postage and miscellaneous		
Telephone	10 000	10 000
Postage and miscellaneous	2 000	2 000
Subtotal 3.2.6, Telephone, postage and miscellaneous	12 000	12 000
3.2.7 Hospitality		
Hospitality	5 000	5 000
Subtotal 3.2.7, hospitality	5 000	5 000
Subtotal 3.2, operating costs (non-personnel)	249 250	249 250
Subtotal 3, secretariat (personnel + operating)	2 166 250	2 212 950
Subtotal, 1+2+3	8 085 900	5 486 200

<i>Budget items</i>	<i>2017</i>	<i>2018</i>
Programme support costs (8 per cent)	646 872	438 896
Total cost to the trust fund	8 732 772	5 925 096
Contribution to working capital reserve (10 per cent)		(925 096)
Total cash requirement	8 732 772	5 000 000

Annex II to decision IPBES-5/6

Draft fundraising strategy

I. Fundraising under IPBES: three types of resources

5. IPBES relies on three types of resources to successfully and effectively implement its mandate and reach its objective of strengthening the science-policy interface for biodiversity and ecosystem services: cash contributions to the trust fund; in-kind contributions to support the implementation of the work programme; and the leveraging of activities of partners in support of IPBES.

6. Cash contributions to the trust fund support the basic operations of IPBES, including the sessions of the Plenary, the Bureau and the Multidisciplinary Expert Panel, the operation of the secretariat and the implementation of the work programme. According to rule 5 of the IPBES financial procedures, adopted by the Plenary in decisions IPBES-2/7 and IPBES-3/2, cash contributions to the trust fund are to be made as non-earmarked contributions. As an exception, single contributions in excess of \$300,000 per contributor per activity are allowed with the approval of the Plenary. Single contributions not exceeding \$300,000 per contributor per activity may be accepted with the approval of the Bureau. To date, cash contributions to the trust fund have been received exclusively from Governments. Some donor Governments are contributing to the trust fund on a regular basis, while other contributions have been irregular, and the range of contributions has varied.

7. In-kind contributions are defined as direct support, not received by the trust fund, for activities either scheduled as part of the work programme, which otherwise would have to be covered by the trust fund, or organized in support of the work programme. Providers of in-kind contributions range from individuals to institutions and Governments, and their contributions cover a wide range of activities, including:

(a) Provision of time and expertise at no cost to IPBES by the experts that are members of assessment and other expert groups – an in-kind contribution without which the implementation of the work programme of IPBES would not be viable within the current financial limits;

(b) Costs of participation in IPBES meetings by experts from developed countries that are not eligible for financial support;

(c) Provision of technical support for specific deliverables by institutions hosting technical support units;

(d) Provision of meeting facilities and logistical support for specific meetings;

(e) Provision of data such as data relevant to indicators, access to knowledge otherwise available only for a fee, or free access to built digital infrastructure.

8. The leveraging of activities of partners in support of IPBES does not directly contribute to the implementation of the IPBES work programme, but it does indirectly play an important role in ensuring the overall success of IPBES. Such leveraging includes building the foundations on which IPBES can draw in its operations and that enable the successful uptake and practical application of IPBES deliverables. In this vein, partners contribute to the work of IPBES by, for example, promoting and catalysing activities aimed at responding to priority capacity-building needs, supporting the generation of knowledge in areas where IPBES has identified and prioritized gaps and enabling the engagement of indigenous and local communities within IPBES.

9. Fundraising for IPBES needs to address all three types of resources. As a well-resourced trust fund is most central to the functioning of IPBES, emphasis should be placed on the raising of cash contributions to the trust fund. At the same time, however, in-kind contributions and the leveraging of activities of partners are also crucial in ensuring the success of IPBES.

II. Activities to strengthen fundraising

10. The following activities are suggested to strengthen fundraising for IPBES:

(a) Increasing understanding of the current structure of IPBES donors and identifying potential donors or partners for each of the three types of resources, noting that, while engaging philanthropic foundations and the private sector should be one objective of IPBES fundraising activities, Governments are likely to remain the key group of donors to the trust fund;

- (b) Increasing understanding of incentives, motivation, disincentives and barriers in respect of donors providing funds, in-kind contributions and supporting activities;
- (c) Enhancing efforts to increase the membership of IPBES;
- (d) Actively communicating the importance of the work of IPBES and its benefits to potential donors and partners;
- (e) Increasing the awareness of potential donors and partners with regard to available avenues for supporting IPBES;
- (f) Creating opportunities for engagement with potential donors and partners, including in the margins of high-level events and through bilateral meetings;
- (g) Working with existing donors willing to champion fundraising efforts;
- (h) Widely acknowledging the contributions of donors and partners.

III. Priority activities for 2017

11. A resource mobilization officer, sponsored by the French Government, will coordinate the implementation of the fundraising strategy for the first work programme of IPBES starting in September 2017. It is suggested that the following activities be undertaken between the fifth and sixth sessions of the Plenary:

- (a) Formal invitation to countries not members of IPBES to become members;
 - (b) Production of communication materials to showcase the value of the work of IPBES to potential partners and donors, using tangible examples and narratives and outlining specific avenues for the provision of support, including contributions to the trust fund, the provision of earmarked funds to the extent that the financial rules permit, the more flexible option of in-kind contributions and the undertaking of supporting activities;
 - (c) Identification of a number of donor champions and collaboration with them in the organization of fundraising meetings, including meetings in the margins of the high-level political forum on sustainable development that will be held from 10 to 19 July 2017 in New York, subject to the availability of resources;
 - (d) Preparation of an analysis, including through consultations with members and other potential donors, of the current structure of IPBES contributions and the incentives, motivations, disincentives and barriers faced in providing funds, contributions and support and the identification of strategies for enhancing incentives, overcoming obstacles and engaging additional potential donors and partners;
 - (e) Engagement with targeted Governments, foundations, businesses and existing relevant fundraising events in line with the strategies identified;
 - (f) Development and maintenance of a list of contributors to IPBES on the IPBES website.
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